

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/781,844

Confirmation No.: 1660

Applicant

Haruhiko KOMORIYA, et al.

Filed

: February 20, 2004

TC/A.U.

: 1621

Examiner

Unassigned

Docket No.

: 038788.53289US

Customer No.

: 030700. : 23911

Title

: Fluorine-Containing Cyclic Compounds, Fluorine-

Containing Polymerizable Monomers, Fluorine-Containing Polymers, Resist Compositions and Patterning Method

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. § 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

STATEMENT OF RELEVANCE

The relevance of these references to the subject matter of the present invention is given in the <u>Background and Summary of the Invention</u> in the specification of the present invention.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filling date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97 (e) or fee under 37 C.F.R. § 1.17 (p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

LD Evans

Registration No. 26,269

July 9, 2004

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Complete if Known Substitute for FADENSA/PTO **Application Number** 10/781.844 **INFORMATION DISCLOSURE** February 20, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Haruhiko KOMORIYA Art Unit 1621 Examiner Name Unassigned (use as many sheets as necessary) Sheet of Attorney Docket Number 038788.53289US

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials'	No. ¹	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (# known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	AA	THEODORE H. FEDYNSHYN ET AL., "Fluoroaromatic Resists for 157-nm Lithography", Journal of Photopolymer Science and Technology, 2002, pp.655-666, Vol. 15, No. 4		
	АВ	RALPH R. DAMMEL ET AL., "New Resin Systems for 157 nm Lithography", Journal of Photopolymer Science and Technology, 2001, pp. 603-612, Vol. 14, No. 4		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.